

## 单根(7,5)蛇形单壁碳纳米管的拉曼光谱

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**摘要:** 研究了单根(7,5)蛇形单壁碳纳米管的拉曼光谱特征, 观察到了环呼吸振动峰(RBM)、环呼吸振动的倍频峰(2RBM)、介于中间频率的振动峰(IMF)、无规振动峰(D)、剪切振动峰(G)、中间频率振动峰(M)、剪切振动和环呼吸振动的和频峰(G+RBM)、面内横向光学声子和纵向声学声子的和频峰(iTOLA)、无规振动的二次共振峰(G'或者2D)以及其它一些归属不清楚的拉曼峰. 不同激发波长和不同激发偏振拉曼光谱研究表明, 这些拉曼光谱峰显示出了非常强的激发能量和激发偏振的选择性.

**关键词:** 拉曼光谱; (7,5)单壁碳纳米管; 共振拉曼光谱; 偏振拉曼光谱  
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## Raman Characterization of a Highly Folded Individual Serpentine (7,5) Single-Walled Carbon Nanotube

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**Abstract:** We carried out Raman characterization of a highly folded individual serpentine (7,5) single-walled carbon nanotube (SWNT). Twenty five Raman peaks were observed, which included a radial breathing mode (RBM band), an overtone of RBM (2RBM band), an immediate-frequency band (IMF band), a disorder-induced band (D band), a tangential band (G band), an M band (1700–1900 cm<sup>-1</sup>), a combination mode of G and RBM (G+RBM band), a combination mode of in-plane transverse optic (iTO) phonon and longitudinal acoustic (LA) phonon (iTOLA band), an overtone of the D band (G' or 2D band), an overtone of the G band (2G band) and some other bands with unknown assignments. Further Raman measurements at different laser excitation wavelengths and different excitation polarization show that these Raman peaks are highly dependent on the excitation energy and the excitation polarization.

**Key Words:** Raman spectroscopy; (7,5) Single-walled carbon nanotube; Resonance Raman spectroscopy; Polarization Raman spectroscopy

Raman spectroscopy is a powerful approach to characterize structure, electronic properties, and phonon properties of SWNTs<sup>[1-4]</sup>. When SWNTs are resonant with the incident laser, where the incident laser energy is close to the excitonic transition energies ( $E_n$ ) of SWNTs, the Raman intensity of SWNTs can be enhanced by 10<sup>3</sup> times<sup>[3]</sup>. Usually observed resonant Raman bands of SWNTs

are RBM band (100–400 cm<sup>-1</sup>), D band (ca 1350 cm<sup>-1</sup>), G band (ca 1580 cm<sup>-1</sup>), and G' band (ca 2700 cm<sup>-1</sup>)<sup>[1]</sup>. The frequency of RBM is inverse to the diameter of SWNTs, which is widely used to assign (n,m) indices of SWNTs<sup>[4-6]</sup>. The RBM and G bands are first-order Raman peaks, while the D and G' bands are intervalley second-order Raman peaks<sup>[2]</sup>.

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There are also other weak second-order Raman bands observed in SWNTs, such as 2RBM band<sup>[7-8]</sup>, IMF band ( $700\text{--}900\text{ cm}^{-1}$ )<sup>[9-11]</sup>,  $M$  band ( $1700\text{--}1800\text{ cm}^{-1}$ )<sup>[12-13]</sup>,  $G$ +RBM band ( $1700\text{--}2000\text{ cm}^{-1}$ )<sup>[14]</sup>, iTOLA band ( $1700\text{--}2000\text{ cm}^{-1}$ )<sup>[13]</sup>, and  $2G$  band (ca  $3200\text{ cm}^{-1}$ )<sup>[15]</sup>. These weak second-order Raman bands can give rich fundamental information of SWNTs. For example, the overtone of RBM has been used to determine electron-phonon coupling in SWNTs<sup>[7-8]</sup>.

However, for an individual SWNT, it is hard to observe all of these weak Raman bands even at strong resonance. SWNT bundles and SWNT solutions may give stronger Raman intensity than an individual SWNT, but Raman bands from different  $(n,m)$  SWNTs in bundles or in solution are overlapped, which makes it difficult to investigate structure-dependent electronic and phonon properties of SWNTs.

Serpentine SWNTs, which have high-density parallel SWNT sections<sup>[16-17]</sup>, can give much stronger Raman intensity than an individual section. Additionally, serpentine SWNT sections are not bundled with each other. Therefore, serpentine SWNTs can be used to observe various second-order Raman bands from individual SWNTs without nanotube–nanotube interactions. Here, we have grown a highly folded (20 sections/ $\mu\text{m}$ ) individual serpentine SWNT. Raman characterization was carried out on this highly folded individual serpentine SWNT and 25 Raman peaks have been observed, including RBM band,  $D$  band,  $G$  band,  $G'$  band, various second-order Raman bands, and some bands with unclear assignments (labeled as NC1 to NC3).

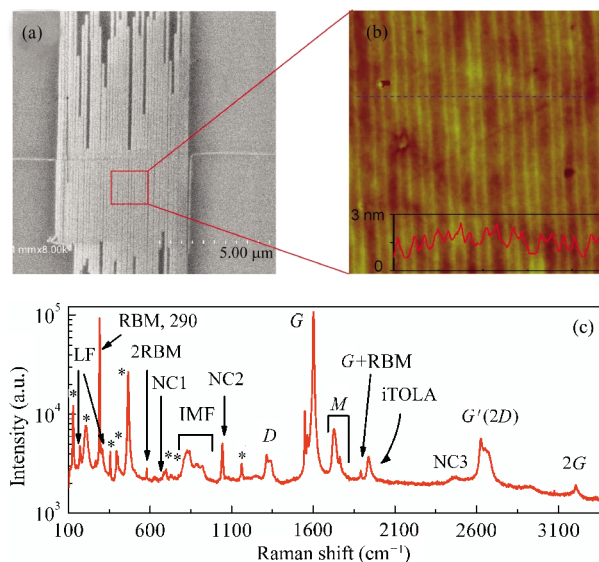
## 1 Experimental

Serpentine SWNTs were grown on quartz by following the method in references<sup>[16-17]</sup>. Atomic force microscope (AFM) characterization was conducted on DI NANOSCOPE III (Veeco Instruments, USA). Scanning electron microscope (SEM) characterization was conducted on Hitachi S-4800 (Japan). Raman characterization was conducted on a Horiba HR800 Raman system. A  $100\times$  objective was used to focus laser beam and to collect Raman signal.

## 2 Results and discussion

Figs. 1(a) and 1(b) show a scanning electron microscope (SEM) image and an atomic force microscope (AFM) image of the same individual serpentine SWNT. The distance between two nearest folded sections of this serpentine SWNT is about 50 nm. From section analysis in the AFM image (the inset in Fig. 1(b)), the diameter is measured to be  $(0.8\pm 0.1)\text{ nm}$  for this SWNT, which indicates an individual SWNT.

Fig. 1(c) shows a Raman spectrum of this SWNT excited at 633 nm. The polarization direction of the excitation laser is parallel to the axial direction of the SWNT sections. Apart from Raman peaks from the quartz substrate (labeled as \*), a bunch of Raman peaks were observed from this individual SWNT. The strong peak at  $290\text{ cm}^{-1}$  is assigned to RBM band. The reported RBM frequencies for  $(7,5)$  and  $(8,3)$  are  $283$  and  $297\text{ cm}^{-1}$ <sup>[6]</sup>, re-

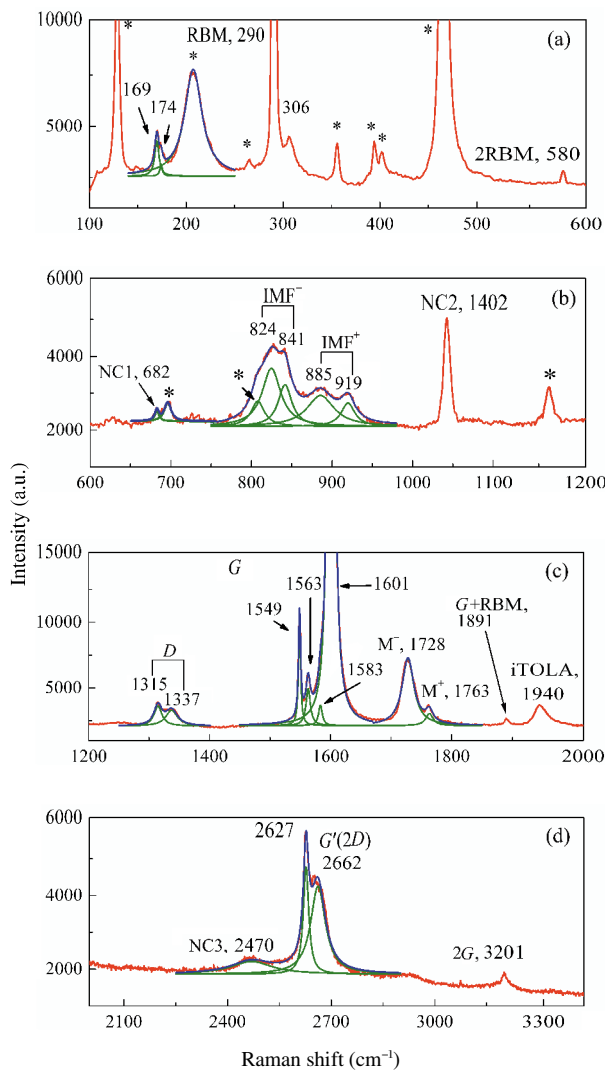


**Fig. 1 SEM and AFM images and Raman spectrum of a serpentine SWNT**

(a) SEM image of a serpentine SWNT, (b) AFM image of the serpentine SWNT in (a) and the image size is  $1\ \mu\text{m}\times 1\ \mu\text{m}$ , the inset in (b) is the section analysis along the blue dash line in (b); (c) Raman spectrum of the serpentine SWNT at 633 nm excitation with laser polarization parallel to SWNT. The Raman peaks labeled as \* were from the substrate (quartz). LF: low-frequency band; NC1, NC2, and NC3: Raman bands with unclear assignment

spectively. The second excitonic transition energies ( $E_{22}$ ) for  $(7,5)$  and  $(8,3)$  are  $647$  and  $663\text{ nm}^{[6]}$ , respectively. Additionally,  $E_{ii}$  of SWNTs shifts slightly with dielectric surroundings ( $< 30\text{ meV}$ , i.e., about  $10\text{ nm}$  around  $650\text{ nm}$ )<sup>[18]</sup>. Therefore, this SWNT is assigned to  $(7,5)$  because the RBM intensity is extremely strong and the  $E_{22}$  of  $(7,5)$  nanotube is more close to excitation energy ( $633\text{ nm}$ ). The diameter measured with AFM ( $(0.8\pm 0.1)\text{ nm}$ ) is consistent with the calculated diameter for a  $(7,5)$  nanotube ( $0.82\text{ nm}$ ). All the Raman bands in Fig. 1(c) are zoomed in Fig. 2, where overlapped peaks are fitted by multi-lorentzian functions.

In Fig. 2(a), the  $290\text{ cm}^{-1}$  peak is the RBM. The  $580\text{ cm}^{-1}$  peak is assigned to 2RBM. The assignment for three low frequency (LF) bands at  $169$ ,  $174$ , and  $306\text{ cm}^{-1}$  is unclear. They may be double resonance of transverse acoustic (TA) phonon and/or longitudinal acoustic (LA) phonon which has been observed in graphite whisker<sup>[19]</sup>. In Fig. 2(b),  $824$ ,  $841$ ,  $885$ , and  $919\text{ cm}^{-1}$  peaks are assigned to IMF band. The IMF band is the combination modes of out-of-plane transverse optic (oTO) phonon and LA phonon<sup>[9-11]</sup>. The assignment for the peaks at  $682$  and  $1042\text{ cm}^{-1}$  is unknown. Dresselhaus *et al.*<sup>[11]</sup> assigned that peak around  $1042\text{ cm}^{-1}$  to Raman peak of C-O-C. In Fig. 2(c), the  $1315$  and  $1337\text{ cm}^{-1}$  peaks are assigned to  $D$  band. The  $1549$ ,  $1563$ ,  $1583$ , and  $1601\text{ cm}^{-1}$  peaks are assigned to  $G$  band. The  $1728$  and  $1763\text{ cm}^{-1}$  peaks are assigned to  $M$  band, which is the overtone of the oTO phonon (oTO phonon frequency is at  $867\text{ cm}^{-1}$  in graphite)<sup>[13]</sup>. The two  $M$  bands ( $M^-$  at  $1728\text{ cm}^{-1}$  and  $M^+$  at  $1763\text{ cm}^{-1}$ ) are corresponding to different resonance processes<sup>[13]</sup>. The  $1891\text{ cm}^{-1}$  peak is assigned to  $G$ +RBM band according to its frequency. The  $1940\text{ cm}^{-1}$  peak is assigned to iTOLA band. In Fig. 2(d), the  $2662$  and

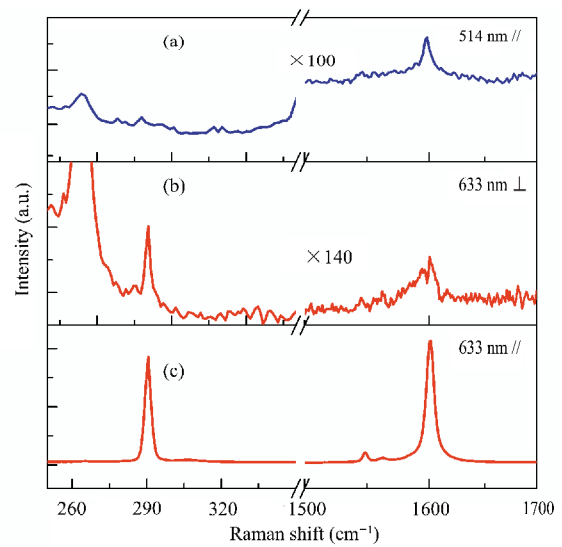


**Fig.2 Zoomed Raman spectra of the serpentine SWNT in Fig.1**

range: (a) 100–600  $\text{cm}^{-1}$ , (b) 600–1200  $\text{cm}^{-1}$ , (c) 1200–2000  $\text{cm}^{-1}$ , (d) 2000–3400  $\text{cm}^{-1}$ ; The blue lines are multi-lorentzian fitting results and the green lines are fitted individual peaks.

2627  $\text{cm}^{-1}$  peaks are assigned to intervalley double resonance of  $K$ -point phonon ( $G'$  band). The 3201  $\text{cm}^{-1}$  peak is assigned to overtone of 1601  $\text{cm}^{-1}$   $G$  band. The assignment for the 2470  $\text{cm}^{-1}$  peak is not clear. It may be inter-electronic band double resonance of  $K$ -point phonon.

Further, Raman measurement was carried out at 514 nm excitation with laser polarization parallel to SWNT (Fig.3(a)) and at 633 nm excitation with laser polarization perpendicular to SWNT (Fig.3(b)). Compared with Raman spectrum at 633 nm excitation with parallel configuration (Fig.3(c)), only weak  $G$  band, whose intensity decreased by ca 200 times, was observed at 514 nm excitation. This suggests that all Raman peaks observed in Fig.1(c) and Fig.2 are resonance Raman peaks. Excitation at 633 nm with laser polarization perpendicular to SWNT only gives very weak RBM and  $G$  band (Fig.3(b)), which is consistent with perfectly parallel-aligned SWNT sections (Fig.1(b)).



**Fig.3 Raman spectra of the serpentine SWNT at different wavelengths and different excitation polarization**

(a) at 514 nm excitation with laser polarization parallel to SWNT, (b) at 633 nm excitation with laser polarization perpendicular to SWNT, (c) at 633 nm excitation with laser polarization parallel to SWNT

### 3 Conclusions

In conclusion, we have observed RBM, LF, 2RBM, IMF,  $D$ ,  $G$ ,  $M$ ,  $G$ +RBM,  $G'$ ,  $2G$  bands and some other bands from a highly folded individual serpentine (7,5) SWNT at 633 nm parallel excitation. Since 2RBM<sup>[7,8]</sup>, IMF<sup>[10]</sup> bands, and possibly LF,  $M$ , and  $G$ +RBM bands, are chirality-dependent, these weak second-order Raman bands may be useful for  $(n,m)$  assignment.

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